



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Fichtner et al.**

Serial No.: **09/895,230**

Filed: **June 29, 2001**

**For: Method and System to Integrate
Existing User and Group Definitions
in a Database Server with
Heterogeneous Application Servers**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

§ Group Art Unit: **2134**
§
§ Examiner: **Jung, David Yiuk**
§
§ Attorney Docket No.: **AUS920010374US1**
§

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By: Louise Fay
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- Form PTO-1449;
- Reference AA-AC; and
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Respectfully submitted,

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In re application of:

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Serial No: **09/895,230**

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Title: **Method and System to Integrate
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Database Server with Heterogeneous
Application Servers**

Group Art Unit: **2134**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

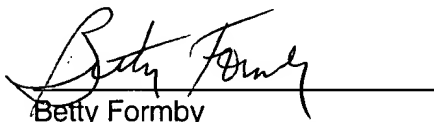
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Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Betty Formby", is written over a horizontal line.

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Substitute for form 1449/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number **09/895,230**
Filing Date **06-29-2001**
First Named Inventor **Fichtner et al.**
Examiner Name **Jung, David Yiuk**
Art Unit **2134**
Attorney Docket No. **AUS920010374US1**

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,134,549	10-17-2000	Regnier et al.	
AB	4,942,552	07-17-1990	Merrill et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AC	HADFIELD et al., "Windows NT Server 4 Security Handbook", Que Corporation, 1997, pp. 83, 146, 169, 213, 356-358.

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